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PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

KEVIN P. PARKER

Application No. 10/670,749

Filed: September 25, 2003

For: **APPARATUS AND METHOD
FOR BINDING A BOOK**

Group Art Unit: 3722

Examiner: Unknown

**SUPPLEMENTAL
INFORMATION
DISCLOSURE
STATEMENT**

400 Montgomery Street
Suite 1110
San Francisco, CA 94104
(415) 433-2250

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on November 21, 2005.

GIRARD & EQUITZ LLP

Date: 11/21/05

By: 

Jacob S. Zweig

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant submits herewith patents, publications or other information [attached hereto and listed on the attached Form PTO-1449 (modified)] of which they are aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement:

- (a) ☐ before the mailing of the first Office Action, after the filing of a Request for Continued Examination, under §1.114.
- (b) ☐ is filed within three months after the filing date of the application or within three months after the date of entry of the national stage of a PCT application as set forth in 37 CFR § 1.491.
- (c) ☒ as far as is known to the undersigned, is filed before the mailing date of a first Office Action on the merits.

- (d) ☐ is filed after the first office action and more than three months after the application's filing date or PCT national stage date of entry filing but, as far as is known to the undersigned, prior to the mailing date of either a final rejection or a notice of allowance, whichever occurs first, and is accompanied by either the fee (\$180) set forth in 37 CFR § 1.17(p) **or** a certification as specified in 37 CFR § 1.97(e), as checked below.
- (e) ☐ is filed after the mailing date of either a final rejection or a notice of allowance, whichever occurred first, and the Issue Fee has not been paid, and is accompanied by the fee (\$130) set forth in 37 CFR § 1.17(i)(1) and a certification as specified in 37 CFR § 1.97(e), as checked below. This document is to be considered as a petition requesting consideration of the information disclosure statement.

[If either of boxes (d) or (e) are checked above, the following "certification" under 37 CFR § 1.97(e) may need to be completed.] The undersigned certifies that:

- (f) ☐ Each item of information contained in the information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement.
- (g) ☐ No item of information contained in this information disclosure statement was cited in a communication mailed from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned after making reasonable inquiry, was known to any individual designated in 37 CFR § 1.56(c) more than three months prior to the filing of this information disclosure statement.

A list of the patent(s) or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of the items on PTO-1449 (Modified) is supplied herewith:

- (h) ☐ each (i) ☒ none (j) ☐ only those listed below

Those patent(s) or publication(s) which are marked with an asterisk (*) in the attached form PTO-1449 (Modified) are not supplied because they were previously cited by or submitted to the Office in a prior application no. , filed and relied upon in this application for an earlier filing date under 35 U.S.C. § 120.

A concise explanation of relevance of the items listed on form PTO-1449 (Modified) is:

- (k) ☒ not given
- (l) ☐ given for each listed item
- (m) ☐ given for only non-English language listed item(s)
[Required]
- (n) ☐ is in the form of an English language copy of a Search Report from a foreign patent office, issued in a counterpart application, which refers to the relevant portions of the references [copy attached].

The Examiner is reminded that a "concise explanation of the relevance" of the submitted items "may be nothing more than identification of the particular figure or paragraph of the patent or publication which has some relation to the claimed invention," MPEP § 609.

While the information and references disclosed in this Information Disclosure Statement may be "material" pursuant to 37 CFR § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

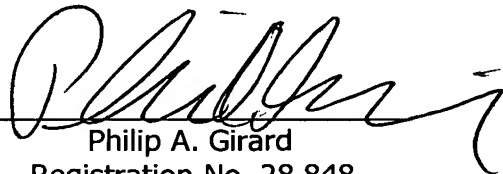
In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(a) exists. It is submitted that the Information Disclosure Statement is in compliance with 37 CFR § 1.98 and MPEP § 609 and the Examiner is respectfully requested to consider the listed references.

[X] The Commissioner is hereby authorized to charge our Deposit Account No. **50-1697** for any fees required in connection with the filing of this Information Disclosure Statement.

Respectfully submitted,

Dated: Nov. 21, 2005

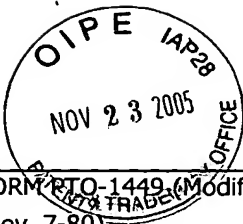
By



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Tel. No. 415/433-2250

Attorney for Applicant

Our File: PRKR-4100



FORM PTO-1449 (Modified) (Rev. 7-80)		U.S. Dept. of Commerce Patent and Trademark Office		Atty Docket No. PRKR-4100		Appln. No. 10/670,749	
INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)							
				Applicant(s) KEVIN P. PARKER			
				Filing Date September 25, 2003		Group 3722	
U.S. PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
	AA	3,749,422	07/31/73	Abildgaard et al.	281	21	09/12/71
	AB	3,964,770	06/22/76	Abildgaard et al.	281	29	02/03/75
	AC	4,184,218	01/22/80	Charles V. Hawkes	11	1 AD	12/02/77
	AD	4,314,716	02/09/82	Dominic R. Errichiello	281	29	08/06/79
	AE	4,615,541	10/07/86	Gerd-Georg Kwauka	281	29	03/02/83
	AF	4,650,216	03/17/87	Dennis W. Carlson	281	34	05/16/83
	AG	4,129,914	12/19/78	Gerhard Jahn	11	2	12/09/76
	AH	5,052,873	10/01/91	Parker et al.	412	13	05/10/90
	AI	6,155,763	12/05/00	Parker et al.	412	6	03/15/99
	AJ	3,715,260	02/06/73	Dornemann et al.	156	477 B	12/17/70
	AK	4,898,506	02/06/90	Peter Lázár	412	8	03/31/88
	AL	5,066,183	11/19/91	Jan Tholérus	412	4	01/05/90
	AM	5,193,962	03/16/93	Parker et al.	412	8	04/20/92
	AN	5,346,350	09/13/94	Luhman et al.	412	37	12/06/93
	AO	5,452,920	09/26/95	Kevin P. Parker	281	21.1	02/16/94
	AP	5,601,915	02/11/97	Ochi et al.	428	323	03/15/95
	AQ	5,613,711	03/25/97	Kevin P. Parker	281	21.1	06/05/95
	AR						
FOREIGN PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation YES NO
	AS						
OTHER DOCUMENTS							
	AT	Pat. Pub. No. US 2004/0066030 A1, Kevin P. Parker, Pub. Date 04/08/04.					
Examiner					Date Considered		
* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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				Applicant(s) KEVIN P. PARKER			
				Filing Date September 25, 2003	Group 3722		
U.S. PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Name	Class	Subclass	Filing Date
	AU	5,829,938	11/03/98	Hartwig et al.	412	8	03/11/97
	AV	5,997,964	12/07/99	Walter F. Klima, Jr.	428	1	05/04/97
	AW	6,024,525	02/15/00	Yuji Yamanaka	412	36	04/17/97
	AX	6,056,493	05/02/00	Hartwig et al.	412	8	05/05/98
	AY	6,065,884	05/23/00	Parker et al.	400	611	10/14/97
	AZ	5,833,423	11/10/98	Yamuguchi et al.	412	8	02/28/96
	BA	6,599,074	07/29/03	Kevin P. Parker	412	33	03/16/01
	BB	6,641,345	11/04/03	Cobene, II et al.	412	1	12/01/00
	BC	6,619,900	09/16/03	Cobene, II et al.	412	1	02/03/01
	BD	4,126,982	11/25/78	Ito et al.	53	593	04/20/77
	BE	5,174,556	12/29/92	Taylor et al.	270	1.1	11/20/91
	BF	5,569,011	10/29/96	Yamaguchi et al.	412	9	07/28/94
	BG						
	BH						
	BI						
	BJ						
	BK						
	BL						
FOREIGN PATENT DOCUMENTS							
*Examiner Initials		Document Number	Date	Country	Class	Subclass	Translation YES NO
	BM						
OTHER DOCUMENTS							
	BN	Pat. Pub. No. US 2002/0064437 A1, Kuramoto et al., Pub. Date 05/30/02.					
Examiner					Date Considered		
* Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							